


## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	PROCESS FOR PRODUCTION OF ESSENTIALLY SOLVENT-FREE SMALL PARTICLES	
<p>Application Number: 10/696384</p> <p>Confirmation Number: 8266</p> <p>First Named Applicant: Mahesh Chaubal</p> <p>Attorney Docket Number: IFT-5657A1A1C6</p> <p>Art Unit: 1615</p> <p>Search string:</p>	<p>( 2745785 or 4056635 or 4073943 or 4452817 or 4540602 or 4606940 or 4608278 or 4622219 or 4725442 or 4798846 or 4826689 or 4973465 or 4997454 or 5023271 or 5049322 or 5078994 or 5091187 or 5091188 or 5100591 or 5118528 or 5133908 or 5122543 or 5145684 or 5151264 or 5152923 or 5174930 or 5188837 or 5246707 or 5250236 or 5269979 or 5298262 or 5302401 or 5314506 or 5318767 or 5326552 or 5336507 or 5340564 or 5346702 or 5352459 or 5354563 or 5389263 or 5399363 or 5417956 or 5429824 or 5447710 or 5466646 or 5470583 or 5474989 or 5494683 or 5510118 or 5518187 or 5518738 or 5534270 or 5543133 or 5552160 or 5560933 or 5560932 or 5565383 or 5569448 or 5573783 or 5578325 or 5580579 or 5587143 or 5591456 or 5605785 or 5626864 or 5635609 or 5641515 or 5641745 or 5660858 or 5662883 or 5662932 or 5665331 or 5665383 or 5707634 or 5716642 or 5720551 or 5766635 or 5780062 or 5833891 or 5849884 or 5858410 or 5862999 or 5874111 or 5916583 or 5916596 or 5922355 or 5939100 or 5981719 or 5989583 or 6007845 or 6039981 or 6045826 or 6045829 or 6048550 or 6063910 or 6068858 or 6086376 or 6090925 or 6090983 or 6132750 or 6139870 or 6143211 or 6146663 or 6153225 or 6165506 or 6177103 or 6197757 or 6207134 or 6214384 or 6217886 or 6221322 or 6221398 or 6221400 or 6228399 or 6231890 or 6235224 or 6238677 or 6238694 or 6245349 or 6248363 or 6264922 or 6267989 or 6268053 or 6270806 or 6294204 or 6299906 or 6306406 or 6337092 or 6344271 or 6346533 or 6365191</p>	

or 6375986 or 6395300 or 6428814 or 6462093  
or 6607784 or RE35338 or 20010007678 or  
20010025058 or 20010042932 or 20020012675  
or 20020012704 or 20020041896 or  
20020054912 or 20020110599 or 20020127278  
or 20020168402 or 20030003155 or  
20030031719 or 20030044433 or 20030059472  
or 20030072807 or 20030077329 or  
20030096013 or 20030100568 or  
20030206959 ).pn.

## US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
<input checked="" type="checkbox"/>	1	2745785	1956-05-01	Bruce et al.			
<input type="checkbox"/>	2	4056635	1977-11-01	Glen et al.			
<input type="checkbox"/>	3	4073943	1978-02-14	Wretlind et al.			
<input type="checkbox"/>	4	4452817	1984-06-05	Glen et al.			
<input type="checkbox"/>	5	4540602	1982-09-10	Motoyama et al.			
<input type="checkbox"/>	6	4606940	1986-08-19	Frank et al.			
<input type="checkbox"/>	7	4608278	1986-08-26	Frank et al.			
<input type="checkbox"/>	8	4622219	1986-11-11	Haynes			
<input type="checkbox"/>	9	4725442	1988-02-16	Haynes			
<input type="checkbox"/>	10	4798846	1989-01-17	Glen et al.			
<input type="checkbox"/>	11	4826689	1989-05-02	Violanto			
<input type="checkbox"/>	12	4973465	1990-11-27	Baurain et al.			
<input type="checkbox"/>	13	4997454	1991-03-05	Violante et al.			
<input type="checkbox"/>	14	5023271	1991-06-11	Vigne et al.			
<input type="checkbox"/>	15	5049322	1991-09-17	Devissaguet et al.			
<input type="checkbox"/>	16	5078994	1992-01-07	Nair et al.			
<input type="checkbox"/>	17	5091187	1992-02-25	Haynes			
<input type="checkbox"/>	18	5091188	1992-02-25	Haynes			
<input type="checkbox"/>	19	5100591	1992-03-31	Leclef et al.			
<input type="checkbox"/>	20	5118528	1992-06-02	Fessi et al.			
<input type="checkbox"/>	21	5133908	1992-07-28	Stainmesse et al.			
<input type="checkbox"/>	22	5122543	1992-06-16	Khanna			
<input checked="" type="checkbox"/>	23	5145684	1992-09-08	Liversidge et al.			

30	24	5151264	1992-09-29	Samain et al.
	25	5152923	1992-10-06	Weder et al.
	26	5174930	1992-12-29	Stainmesse et al.
	27	5188837	1993-02-23	Domb
	28	5246707	1993-09-21	Haynes
	29	5250236	1993-10-05	Gasco
	30	5269979	1993-12-14	Fountain
	31	5298262	1994-03-29	Na et al.
	32	5302401	1994-04-12	Liversidge et al.
	33	5314506	1994-05-24	Midler, Jr. et al.
	34	5318767	1994-06-07	Liversidge
	35	5326552	1994-07-05	Na et al.
	36	5336507	1994-08-09	Na et al.
	37	5340564	1994-08-23	Illig et al.
	38	5346702	1994-09-13	Na et al.
	39	5352459	1994-10-04	Hollister et al.
	40	5354563	1994-10-11	Toyotama
	41	5389263	1995-02-14	Gallagher et al.
	42	5399363	1995-03-21	Liversidge et al.
	43	5417956	1995-05-23	Moser
	44	5429824	1995-07-04	June
	45	5447710	1995-09-05	Na et al.
	46	5466646	1995-11-14	Moser
	47	5470583	1995-11-28	Na et al.
	48	5474989	1995-12-12	Hashimoto et al.
	49	5494683	1996-02-27	Liversidge et al.
	50	5510118	1996-04-23	Bosch et al.
	51	5518187	1996-05-21	Bruno et al.
	52	5518738	1996-05-21	Eickhoff et al.
	53	5534270	1996-07-09	De Castro
	54	5543133	1996-08-06	Swanson et al.
	55	5552160	1996-09-03	Liversidge et al.
	56	5560933	1996-10-01	Soon-Shiong et al.
	57	5560932	1996-10-01	Bagchi et al.
	58	5565383	1996-10-15	Sakai
✓	59	5569448	1996-10-29	Wong et al.

60	5573783	1996-11-12	Desieno et al.
61	5578325	1996-11-26	Domb et al.
62	5580579	1996-12-03	Ruddy et al.
63	5587143	1996-12-24	Wong
64	5591456	1997-01-07	Franson et al.
65	5605785	1997-02-25	Texter et al.
66	5626864	1997-05-06	Rosenberg et al.
67	5635609	1997-06-03	Levy et al.
68	5641515	1997-06-24	Ramtoola
69	5641745	1997-06-24	Ramtoola
70	5660858	1997-08-26	Parikh et al.
71	5662883	1997-09-02	Bagchi et al.
72	5662932	1997-09-02	Anselem et al.
73	5665331	1997-09-09	Bagchi et al.
74	5665383	1997-09-09	Grinstaff et al.
75	5707634	1998-01-13	Schmitt
76	5716642	1998-02-10	Bagchi et al.
77	5720551	1998-02-24	Shechter
78	5766635	1998-06-16	Spencehauer et al.
79	5780062	1998-07-14	Frank et al.
80	5833891	1998-11-10	Subramaniam et al.
81	5849884	1998-12-15	Woiszwilllo et al.
82	5858410	1999-01-12	Muller et al.
83	5862999	1999-01-26	Czekai et al.
84	5874111	1999-02-23	Maitra et al.
85	5916583	1999-06-29	Broberg et al.
86	5916596	1999-06-29	Desai et al.
87	5922355	1999-07-13	Parikh et al.
88	5939100	1999-08-17	Albrechtsen et al.
89	5981719	1999-11-09	Woiszwilllo et al.
90	5989583	1999-11-23	Amselem
91	6007845	1999-12-28	Domb et al.
92	6039981	2000-03-21	Woo et al.
93	6045826	2000-04-04	Borowy-Borowski et al.
94	6045829	2000-04-04	Liversidge et al.
95	6048550	2000-04-11	Chan et al.

80	96	6063910	2000-05-16	Debenedetti et al.
	97	6068858	2000-05-30	Liversidge et al.
	98	6086376	2000-07-11	Moussa et al.
	99	6090925	2000-07-18	Woiszwilllo et al.
	100	6090983	2000-07-18	Yokoyama et al.
	101	6132750	2000-10-17	Perrier et al.
	102	6139870	2000-10-31	Verrecchia
	103	6143211	2000-11-07	Mathiowitz et al.
	104	6146663	2000-11-14	Bissery et al.
	105	6153225	2000-11-28	Lee et al.
	106	6165506	2000-12-26	Jain et al.
	107	6177103	2001-01-23	Pace et al.
	108	6197757	2001-03-06	Perrier et al.
	109	6207134	2001-03-27	Fahlvik et al.
	110	6214384	2001-04-10	Pallado et al.
	111	6217886	2001-04-17	Onyuksel et al.
	112	6221322	2001-04-24	Thumm et al.
	113	6221398	2001-04-24	Jakupovic et al.
	114	6221400	2001-04-24	Liversidge et al.
	115	6228399	2001-05-08	Parikh et al.
	116	6231890	2001-05-15	Naito et al.
	117	6235224	2001-05-22	Mathiowitz
	118	6238677	2001-05-29	Fanta et al.
	119	6238694	2001-05-29	Gasco
	120	6245349	2001-06-12	Yiv et al.
	121	6248363	2001-06-19	Patel et al.
	122	6264922	2001-07-24	Wood et al.
	123	6267989	2001-07-31	Liversidge
	124	6268053	2001-07-31	Woiszwilllo et al.
	125	6270806	2001-08-07	Liversidge et al.
	126	6294204	2001-09-25	Rossling et al.
	127	6299906	2001-10-06	Bausch et al.
	128	6306406	2001-10-23	Deluca
	129	6337092	2002-01-08	Khan et al.
	130	6344271	2002-02-05	Yadav et al.
	131	6346533	2002-02-12	Cha et al.

<input checked="" type="checkbox"/>	132	6365191	2002-04-02	Burman et al.
<input type="checkbox"/>	133	6375986	2002-04-23	Ryde et al.
<input type="checkbox"/>	134	6395300	2002-05-28	Straub et al.
<input type="checkbox"/>	135	6428814	2002-08-06	Bosch et al.
<input type="checkbox"/>	136	6462093	2002-10-08	Miyamoto et al.
<input type="checkbox"/>	137	6607784	2003-08-19	Kipp et al.
<input checked="" type="checkbox"/>	138	RE35338	1996-09-24	Haynes

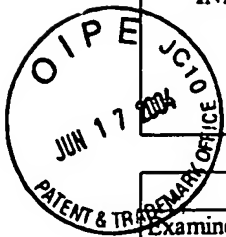
## US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
<input checked="" type="checkbox"/>	1	20010007678	2001-07-12	Baert et al.			
<input type="checkbox"/>	2	20010025058	2001-09-27	Borowy-Borowski et al.			
<input type="checkbox"/>	3	20010042932	2001-11-22	Mathiowitz et al.			
<input type="checkbox"/>	4	20020012675	2002-01-31	Jain et al.			
<input type="checkbox"/>	5	20020012704	2002-01-31	Pace et al.			
<input type="checkbox"/>	6	20020041896	2002-04-11	Straub et al.			
<input type="checkbox"/>	7	20020054912	2002-05-09	Kim et al.			
<input type="checkbox"/>	8	20020110599	2002-08-15	Auweter et al.			
<input type="checkbox"/>	9	20020127278	2002-09-12	Kipp et al.			
<input type="checkbox"/>	10	20020168402	2002-11-14	Kipp et al.			
<input type="checkbox"/>	11	20030003155	2003-01-02	Kipp et al.			
<input type="checkbox"/>	12	20030031719	2003-02-13	Kipp et al.			
<input type="checkbox"/>	13	20030044433	2003-03-06	Werling et al.			
<input type="checkbox"/>	14	20030059472	2003-03-27	Brynjelsen et al.			
<input type="checkbox"/>	15	20030072807	2003-04-17	Wong et al.			
<input type="checkbox"/>	16	20030077329	2003-04-24	Kipp et al.			
<input type="checkbox"/>	17	20030096013	2003-05-22	Werling et al.			
<input type="checkbox"/>	18	20030100568	2003-05-29	Werling et al.			
<input checked="" type="checkbox"/>	19	20030206959	2003-11-06	Kipp et al.	A9		

Signature

Examiner Name	Date
<i>Simon Or</i>	3 / 17 / 08



<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (use several sheets if necessary)  PTO Form 1449	Atty Docket No. IFT-5657A1A1C6	Application No. 10/696,384
	Applicant Chaubal et al.	
	Filing Date Oct. 29, 2003	Group 1615

U.S. PATENT DOCUMENTS							
Examiner's Initials		Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate

FOREIGN PATENT DOCUMENTS								
Examiner's Initials		Document Number	Publication Date	Country	Class	Subclass	Translation	
							Yes	No
S		EP 0169 618 B2	10 Nov 1993	EP			X	
		EP 0207 134 B1	17 Aug 1994	EP			X	
		EP 0275 796 B2	6 Sept 1995	EP				X
		EP 0349 428 B1	20 Jan 1993	EP			X	
		EP 0372 070 B1	15 Jan 1997	EP			X	
		EP 0377 477 B1	24 Mar 1993	EP			X	
		EP 0379 379 B1	18 Jan 1990	EP			X	
		EP 0498 482 B1	8 Sept 1999	EP			X	
		EP 0499 299 B1	16 Aug 2000	EP			X	
		EP 0517 565 B1	18 Oct 2000	EP			X	
		EP 0535 534 A1	7 Apr 1993	EP			X	
		EP 0577 215 A1	1 May 1994	EP			X	
		EP 0600 532 A2 EP 0600 532 A3	8 June 1994	EP			X	
		EP 0601 618 A2 EP 0601 618 A3	15 June 1994	EP			X	
		EP 0601 619 A2 EP 0601 619 A3	15 June 1994	EP			X	
		EP 0602 700 A2 EP 0602 700 A3	22 June 1994	EP			X	
V		EP 0602 702 A1	22 June 1994	EP			X	

Examiner: <i>Sam On</i>	Date Considered: <i>3/17/08</i>
*Examiner: Initial if citation considered whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (use several sheets if necessary)  PTO Form 1449	Atty Docket No. IFT-5657A1A1C6	Application No. 10/696,384
	Applicant Chaubal et al.	
	Filing Date Oct. 29, 2003	Group 1615

FOREIGN PATENT DOCUMENTS								
Examiner's Initials	Document Number	Publication Date	Country	Class	Subclass	Translation		
						Yes	No	
S	EP 0605 024 A2	6 July 1994	EP			X		
	EP 0605 024 A3							
	EP 0644 755 B1	19 Mar 1997	EP			X		
	EP 0720 471 B1	18 Apr 2001	EP			X		
	EP 0730 406 B1	8 July 1998	EP			X		
	EP 0752 245 B1	22 May 2002	EP			X		
	EP 0754 034 B1	22 Jan 1997	EP			X		
	EP 0788 350 B1	27 Feb 2002	EP			X		
	EP 0804 162 B1	5 Sept 2001	EP			X		
	EP 0808 154 B1	20 Dec 2000	EP			X		
	EP 0812 187 B1	2 May 2003	EP			X		
	EP 0820 300 B1	2 Apr. 1996	EP			X		
	EP 0828 479 B1	24 Oct 2001	EP			X		
	EP 0831 770 B1	16 Aug 2000	EP			X		
	EP 0832 569 A2	1 Apr 1998	EP			X		
	EP 0832 569 B1	26 Nov 2003						
	EP 0988 863 A3	9 Aug 2000	EP			X		
	EP 1012 204 B1	29 Jan 2003	EP			X		
	EP 1105 109 B1	23 Apr 2003	EP			X		
	EP 1156 788 B1	15 Jan 2003	EP			X		
	EP 1210 942 A2	5 June 2002	EP			X		
	WO 85/00011 A1	3 Jan 1985	PCT			X		
	WO 86/03676 A1	3 July 1986	PCT			X		
	WO 89/11850 A1	14 Dec 1989	PCT			X		
	WO 90/03782 A2	19 Apr 1990	PCT			X		
	WO 90/15593 A1	27 Dec 1990	PCT			X		
	WO 91/06292 A1	16 May 1991	PCT			X		
	WO 91/12794 A1	5 Sept 1991	PCT			X		
	WO 91/16068 A1	31 Oct 1991	PCT			X		
	WO 92/00731 A1	23 Jan 1992	PCT			X		
	WO 92/03380 A1	5 Mar 1992	PCT			X		
	WO 93/25190 A1	23 Dec 1993	PCT			X		
	WO 94/20072 A1	15 Sept 1994	PCT			X		
	WO 95/05164 A1	23 Feb 1995	PCT			X		
	WO 95/27482 A1	19 Oct 1995	PCT			X		
	WO 96/00567 A1	11 Jan 1996	PCT			X		

Examiner: <i>Suman Ch</i>	Date Considered: 3/17/06
*Examiner: Initial if citation considered whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (use several sheets if necessary)  PTO Form 1449	Atty Docket No. IFT-5657A1A1C6	Application No. 10/696,384
	Applicant Chaubal et al.	
	Filing Date Oct. 29, 2003	Group 1615

FOREIGN PATENT DOCUMENTS								
Examiner's Initials		Document Number	Publication Date	Country	Class	Subclass	Translation	
							Yes	No
50		WO 96/14833 A1	23 May 1996	PCT			X	
		WO 96/20698 A2	11 July 1996	PCT			X	
		WO 96/24336 A1	15 Aug 1996	PCT			X	
		WO 96/24340 A1	15 Aug 1996	PCT			X	
		WO 96/25150 A1	22 Aug 1996	PCT			X	
		WO 96/25152 A1	22 Aug 1996	PCT			X	
		WO 96/25918 A1	28 Aug 1996	PCT			X	
		WO 96/31231 A1	10 Oct 1996	PCT			X	
		WO 97/03657 A1	19 July 1996	PCT			X	
		WO 97/14407 A1	24 Apr 1997	PCT			X	
		WO 97/30695 A1	28 Aug 1997	PCT			X	
		WO 97/36611 A1	9 Oct 1997	PCT			X	
		WO 97/41837 A2	13 Nov 1997	PCT			X	
		WO 97/44014 A1	27 Nov 1997	PCT			X	
		WO 98/07410 A1	26 Feb 1998	PCT			X	
		WO 98/07414 A1	26 Feb 1998	PCT			X	
		WO 98/14174 A1	9 Apr 1998	PCT			X	
		WO 98/14180 A1	9 Apr 1998	PCT			X	
		WO 98/35666 A1	20 Aug 1998	PCT			X	
		WO 98/57967 A1	23 Dec 1998	PCT			X	
		WO 99/00113 A1	7 Jan 1999	PCT			X	
		WO 99/02665 A1	21 Jan 1999	PCT			X	
		WO 99/03450 A1	29 Jan 1999	PCT			X	
		WO 99/16443 A1	8 Apr 1999	PCT			X	
		WO 99/29316 A1	17 June 1999	PCT			X	
		WO 99/30833 A1	24 June 1999	PCT			X	
		WO 99/32156 A2	1 July 1999	PCT			X	
		WO 99/33467 A1	8 July 1999	PCT			X	
		WO 99/38493 A1	5 Aug 1999	PCT			X	
		WO 99/49846 A2	7 Oct 1999	PCT			X	
		WO 99/49848 A1	7 Oct 1999	PCT			X	
		WO 99/61001 A1	2 Dec 1999	PCT			X	
		WO 99/65469 A3	23 Dec 1999	PCT			X	
		WO 00/09096 B1	24 Feb 2000	PCT			X	
✓		WO 00/12124 A1	9 Mar 2000	PCT			X	

Examiner: <i>Simon Chen</i>	Date Considered: <i>3/17/06</i>
*Examiner: Initial if citation considered whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (use several sheets if necessary)  PTO Form 1449	Atty Docket No. IFT-5657A1A1C6	Application No. 10/696,384
	Applicant Chaubal et al.	
	Filing Date Oct. 29, 2003	Group 1615

FOREIGN PATENT DOCUMENTS								
Examiner's Initials		Document Number	Publication Date	Country	Class	Subclass	Translation	
							Yes	No
50		WO 00/12125 A1	9 Mar 2000	PCT			X	
		WO 00/18374 A1	6 Apr 2000	PCT			X	
		WO 00/27363 A1	18 May 2000	PCT			X	
		WO 00/30615 A1	2 June 2000	PCT			X	
		WO 00/30616 A1	2 June 2000	PCT			X	
		WO 00/37050 A1	29 June 2000	PCT			X	
		WO 00/40220 A1	13 July 2000	PCT			X	
		WO 00/51572 B1	8 Sept 2000	PCT			X	
		WO 00/71079 A2	30 Nov 2000	PCT			X	
		WO 01/12155 A1	22 Feb 2001	PCT			X	
		WO 01/17546 A1	15 Mar 2001	PCT			X	
		WO 01/26635 A2	19 Apr 2001	PCT			X	
		WO 01/62374 A2	30 Aug 2001	PCT			X	
		WO 01/64164 A2	7 Sept 2001	PCT			X	
		WO 01/80828 A2	1 Nov 2001	PCT			X	
		WO 01/85345 A1	15 Nov 2001	PCT			X	
		WO 01/87264 A2	22 Nov 2001	PCT			X	
		WO 02/17883 A2	7 Mar 2002	PCT			X	
		WO 02/24169 A1	28 Mar 2002	PCT			X	
		WO 02/24163 A1	28 Mar 2002	PCT			X	
		WO 02/43702 A2	6 June 2002	PCT			X	
		WO 02/51386 A2	7 July 2002	PCT			X	
		WO 02/55059 A2	18 July 2002	PCT			X	
		WO 02/60411 A2	8 Aug 2002	PCT			X	
		WO 02/72070 A1	19 Sept 2002	PCT			X	
		WO 02/72071 A1	19 Sept 2002	PCT			X	
		WO 02/74282 A1	26 Sept 2002	PCT			X	
		WO 02/80883 A2	17 Oct 2002	PCT			X	
		WO 02/89773 A2	14 Nov 2002	PCT			X	
		WO 03/26611 A2	3 Apr 2003	PCT			X	
		WO 03/26611 A3						
		WO 03/35031 A1	1 May 2003	PCT			X	

Examiner: <i>Simon Chen</i>	Date Considered: <i>3/17/06</i>
*Examiner: Initial if citation considered whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (use several sheets if necessary)  PTO Form 1449	Atty Docket No. IFT-5657A1A1C6	Application No. 10/696,384
	Applicant Chaubal et al.	
	Filing Date Oct. 29, 2003	Group 1615

Examiner's Initials	OTHER DOCUMENTS (Including Author Title Date Pertinent Pages Etc.)
SO	Davis et al., "Pulmonary Perfusion Imaging: Acute Toxicity and Safety Factors as a Function of Particle Size", J.Nucl Med., Vol. 19 (1978), pp. 1209-1213.
	Schroeder et al., "Physiological Effects of Subvisible Microspheres Administered Intravenously to Beagle Dogs", Journal of Pharmaceutical Sciences, Vol. 67, No. 4, April 1978, pp. 508-512.
	Yokel et al., "Acute Toxicity of Latex Microspheres", Toxicity Letters, Vol. 9 (1981), pp. 165-170.
	Allen et al., "Critical Evaluation of Acute Cardiopulmonary Toxicity of Microspheres", J.Nucl Med., Vol. 19 (1987), pp. 1204-1208.
	B. Sjostrom et al., "Preparation Of Submicron Drug Particles In Lecithin-Stabilized O/W Emulsions I. Model Studies Of The Precipitation Of Cholesteryl Acetate", Int. J. Pharm., 88 (1992) pp 53-62.
	B. Sjostrom et al., "A Method For The Preparation Of Submicron Particles Of Sparingly Water-Soluble Drugs By Precipitation In Oil-In-Water Emulsions. II: Influence Of The Emulsifier, The Solvent And The Drug Substance", J. Pharm. Sci., 82(6), (1992) pp 584-589.
	Duncker and Reichelt, "Effects of the Pharmaceutical Cosolvent hydroxypropyl-beta-cyclodextrin on Porcine Corneal Endothelium", Graefe's Archive for Clinical and Experimental Ophthalmology (Germany), 236/5 (1998), pp. 380-389.
	Volchek and Dellen, "Anaphylaxis to Intravenous Cyclosporine and Tolerance to Oral Cyclosporine, Case Report and Review", Annals of Allergy, Asthma, and Immunology, 80 (1998), pp. 159-163.
	Singla et al., "Paclitaxel and its Formulations", International Journal of Pharmaceutics, 235/1-2 (2002), pp. 179-192.
	B. Sjostrom et al., "The Formation of Submicron Organic Particles by Precipitation in an Emulsion", J.Dispersion Science and Technology, 15(1), 89-117 (1994).
	Avanti Polar Lipids, Inc., website printout, www.avantilipids.com, "Synthetic Products - Functionalized Phospholipids: Lipids for Conjugation of Proteins/Peptides/Drugs to Liposomes" (7 pgs), March 2003.
	Avanti Polar Lipids, Inc., website printout, www.avantilipids.com, "Polymer and Polymerizable Lipids: Functionalized PEG Lipids" (3 pgs), March 2003.
✓	Avanti Polar Lipids, Inc., website printout, www.avantilipids.com, "Polymer and Polymerizable Lipids: Poly(ethylene glycol)-Lipid Conjugates" (8 pgs) , March 2003.

Examiner: <i>Simon On</i>	Date Considered: <i>3/17/08</i>
*Examiner: Initial if citation considered whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



PTO/SB/08a (07-05)  
Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

of

Application Number	10/696,384
Filing Date	October 29, 2003
First Named Inventor	Mahesh Chaubal
Art Unit	1615
Examiner Name	Simon Oh
Attorney Docket Number	ET-5657A-1A1C

**American LegalNet, Inc.**  
**www.USCourtForms.com**

Under the Paperwork Reduction Act of 1995 no Persons are required to respond to a collection of information unless it contains a valid OMR control number.

Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>		<b>Complete if Known</b>	
		Application Number	10/696,384
		Filing Date	October 29, 2003
		First Named Inventor	Mahesh Chaubal
		Art Unit	1615
		Examiner Name	Simon Oh
Sheet 3	of 4	Attorney Docket Number IFT-5657A-1A1C6 (3360-0003.02)	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
SO	40	ALLEN, T.M. et al., "Large unilamellar liposomes with low uptake into the reticuloendothelial system", Elsevier Science Publishers B.V., Vol 223, No. 1, pp. 42-46, October, 1987.	
	41	ALLEN, T.M. et al., "Effects on the murine mononuclear phagocyte system of chronic administration of liposomes containing cytotoxic drug or lipid A compared with empty liposomes", Vol 65, pp. 185-190, 1987.	
	42	AQUARO, et al. Antiviral Research 55, pp. 209-225, 2002.	
	43	BENDER et al., "Efficiency of Nanoparticles as a Carrier for Antiviral Agents in Human Immunodeficiency Virus-Infected Human Monocytes/Macrophages In Vitro", Antimicrobial Agents and Chemotherapy, 40(6), pp. 1467-1471, June 1996.	
	44	CROWE, et al., Journal of Leukocyte Biology, pp. 635-641, November 2003.	
	45	FISCHER-SMITH, et al., Journal of NeuroVirology, 7, pp. 528-541, 2001.	
	46	GRAHAM, D.T., et al., "The Effects of Freezing on Commercial Insulin Suspensions", International Journal of Pharmaceutics, 1978.	
	47	KINMAN et al., Journal of Acquired Immune Deficiency Syndrome, 34(4), pp. 387-397, December 1, 2003.	
	48	LIMOGES et al., poster presentation, 11th Conference on Retroviruses and Opportunistic Infections, February 8-11, 2004.	
✓	49	MOGHIMI et al., Pharmacological Reviews, 53, pp. 283-318, 2001.	

Examiner Signature	<i>Simon Oh</i>	Date Considered	3/17/08
--------------------	-----------------	-----------------	---------

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995 no Persons are required to respond to a collection of information unless it contains a valid OMR control number.

Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete If Known**

Application Number	10/696,384
Filing Date	October 29, 2003
First Named Inventor	Mahesh Chaubal
Art Unit	1615
Examiner Name	Simon Oh
Attorney Docket Number	FT-5657A-1A1C6 (3360-0003.02)

Sheet

4

of

4

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
S	50	NESBIT et al., Clinical and Diagnostic Laboratory Immunology, 9(3), pp. 515-524, May 2002.	
	51	NOTTET et al., The Neurology of AIDS, p. 55, 1998.	
	52	PERNO et al., The Journal of Infectious Diseases, 178, pp. 413-422, 1998.	
	53	SAWCHUK et al., Advanced Drug Delivery Reviews, 39, pp. 5-31, 1999.	
	54	SCHROEDER, H.G., "Distribution of Radiolabeled Subdivisible Microspheres after Intravenous Administration to Beagle Dogs", Journal of Pharmaceutical Sciences, Vol 67, No 4, pp. 504-507, April 1978.	
	55	SHRAYER, D. et al., "Ceramide, a mediator of apoptosis, synergizes with paclitaxel to induce regression of the L3.6 human pancreatic carcinoma implanted in SCID mice", Journal of Clinical Oncology, Vol 22, No 14S (July 15 Supplement), 2004: 2135.	
	56	SOLAS et al., Antimicrobiology Agents and Chemotherapy, 47 (1), pp. 238-243, January 2003.	
✓	57	VON BRIESEN, H., "Controlled Release of Antiretroviral Drugs", AIDS Rev., 2, pp. 31-38, 2000.	

Examiner  
Signature

Simon Oh

Date  
Considered

3/17/08

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.88. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.